

Polynomial Real Root Isolation Using Vincent's Theorem of 1836

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- ▶ To determine the values of the real roots, isolation is followed by **approximation** to any desired degree of accuracy.
- ▶ One of — if not — the first to employ the **isolation / approximation** approach was Budan and we begin our talk with him.

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- Budan's theorem and some other discoveries described in his book of 1807.

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- VAS, one of the three methods derived from Vincent's theorem for the isolation of the real roots of polynomials.

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- Uspensky's extension of Vincent's theorem, which appeared in his book published posthumously in 1948.
- VAS, one of the three methods derived from Vincent's theorem for the isolation of the real roots of polynomials.
- Bounds on the values of the positive roots, which determine the efficiency of VAS.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Descartes' rule of signs (1637) — saved from oblivion by Budan

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Consider the polynomial

$$p(x) = a_n x^n + \cdots + a_1 x + a_0,$$

where $p(x) \in \mathbb{R}[x]$ and let $\text{var}(p)$ represent the number of sign *changes* or *variations* (positive to negative and vice-versa) in the sequence of coefficients a_n, a_{n-1}, \dots, a_0 .

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Theorem

The number $\varrho_+(p)$ of real roots — multiplicities counted — of the polynomial $p(x) \in \mathbb{R}[x]$ in the open interval $(0, \infty)$ is **bounded above** by $\text{var}(p)$; that is, we have $\text{var}(p) \geq \varrho_+(p)$. If $\text{var}(p) > \varrho_+(p)$ then their difference is an **even** number.

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These two special cases above will be used as **termination criteria** in the real root isolation method VAS.

Table of contents

- 1 Budan's work of 1807
 - Statement of Budan's theorem
 - Fate of Budan's theorem
 - Recapping
- 2 Vincent's Theorem of 1836
- 3 Uspensky's Extension of Vincent's Theorem
- 4 Various Implementations of Vincent's Theorem

Budan's work of 1807

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Historical Note on Budan (1761-1840)

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► From Wikipedia we see that Ferdinand Francois Desire Budan de Boislaurent is considered an amateur mathematician, who is **best remembered** for his discovery of a rule which gives the necessary condition for a polynomial equation to have **no real roots within an open interval**.

Historical Note on Budan (1761-1840)

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► Taken together with Descartes' Rule of signs, his theorem leads to an **upper bound** on the number of the real roots a polynomial has inside an open interval.

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Budan's Book of 1807

Budan's Book of 1807

NOUVELLE MÉTHODE

POUR LA RÉOLUTION

DES ÉQUATIONS NUMÉRIQUES

D'UN DEGRÉ QUELCONQUE;

D'après laquelle tout le calcul exigé pour cette Résolution se réduit à l'emploi des deux premières règles de l'Arithmétique :

PAR F. D. BUDAN, D. M. P.

« On peut regarder ce point comme le plus important de tout l'Analyse... »
 « Il conviendrait de donner dans l'Arithmétique, les règles de la Résolution des
 » Equations numériques, aussi à recourir à l'Algèbre la démonstration de celles
 » qui dépendent de la théorie générale des Equations (Traité de la Résolution
 » des Equations numériques de tous les degrés, par J. L. LAGRANGE; Leçons
 » de math. entre aux Écoles normales).»

A PARIS,

Chez COURCIER, Imprimeur-Libraire pour les Mathématiques,
 quai des Augustins, n° 57.

ANNÉE 1807.

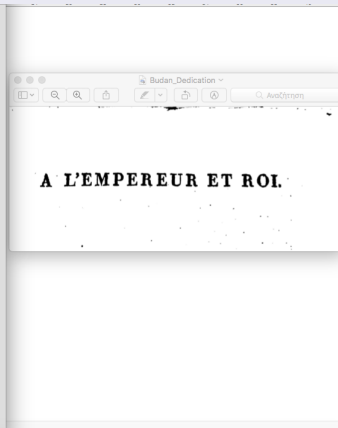


Figure:

Budan's work of 1807

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If in an equation $p(x) = 0$ we make two substitutions, $x \leftarrow x + a$ and $x \leftarrow x + b$, where a and b are real numbers such that $a < b$, then:

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► $\text{var}(p(x + a)) \geq \text{var}(p(x + b))$.

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- ▶ $\text{var}(p(x + a)) \geq \text{var}(p(x + b))$.
- ▶ the number $\varrho_{ab}(p)$ of **real roots** of $p(x)$ located between a and b , satisfies the inequality $\varrho_{ab}(p) \leq \text{var}(p(x + a)) - \text{var}(p(x + b))$.

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- ▶ if $\varrho_{ab}(p) < \text{var}(p(x + a)) - \text{var}(p(x + b))$, then $\{\text{var}(p(x + a)) - \text{var}(p(x + b))\} - \varrho_{ab}(p) = 2k, k \in \mathbb{N}$.

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Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

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Fate of Budan's theorem

Recapping

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- ▶ From Budán's theorem it follows that if the polynomials $p(x)$ and $p(x + 1)$ have the **same number of sign variations** then $p(x)$ has **no real roots** in the interval $(0, 1)$.

Remarks on Budan's theorem

► From Budan's theorem it follows that if the polynomials $p(x)$ and $p(x + 1)$ have the **same number of sign variations** then $p(x)$ has **no real roots** in the interval $(0, 1)$.

► On the other hand, if $p(x)$ has **more sign variations** than $p(x + 1)$, Budan investigates the **existence** or **absence** of real roots in the interval $(0, 1)$ by **mapping those roots in the interval $(0, \infty)$ so that he can use Descartes' rule of signs.**

Budan's work of 1807

Vincent's Theorem of 1836

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Statement of Budan's theorem

Fate of Budan's theorem

Recapping

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► To map the real roots of the interval $(0, 1)$ in the interval $(0, \infty)$ Budán makes the pair of substitutions $x \leftarrow \frac{1}{x}$ and $x \leftarrow 1 + x$ (which is equivalent to the substitution $x \leftarrow \frac{1}{1+x}$). His **termination criterion** states that ...

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► The number $\varrho_{01}(p)$ of real roots in the open interval $(0, 1)$ — multiplicities counted — of the polynomial $p(x) \in \mathbb{R}[x]$, is **bounded above** by the number of sign variations $var_{01}(p)$, where

$$var_{01}(p) = var\left(\left(x + 1\right)^{\deg(p)} p\left(\frac{1}{x + 1}\right)\right).$$

That is, we have $var_{01}(p) \geq \varrho_{01}(p)$.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of Budan's theorem

Fate of Budan's theorem

Recapping

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- ▶ Following a priority dispute, Budan's theorem was overshadowed by an equivalent theorem by Fourier, which appears under the names **Budan** or **Fourier** or **Fourier-Budan** or **Budan-Fourier**.

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121. THÉORÈME DE BUDAN. — *Étant donnée une équation quelconque $f(x) = 0$ de degré m , si dans les $m + 1$ fonctions*

$$(1) \quad f(x), f'(x), f''(x), \dots, f^m(x)$$

on substitue deux quantités réelles quelconques α et

Figure: **Fourier's theorem** in Serret's Algebra, Vol. 1, 1877.

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Various Implementations of Vincent's Theorem

Statement of Budan's theorem

Fate of Budan's theorem

Recapping

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► **CAVEAT:** From Budán's statement it is **easier to deduce that** $\text{var}(p(x)) - \text{var}(p(x+1)) = 0 \Rightarrow \varrho_{01}(p) = 0$, than it is from Fourier's statement.

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▶ **CAVEAT:** From Budan's statement it is **easier to deduce that** $\text{var}(p(x)) - \text{var}(p(x+1)) = 0 \Rightarrow \varrho_{01}(p) = 0$, than it is from Fourier's statement.

▶ In his paper of 1836, **Vincent** presented **both** the Budan and the Fourier statement of this crucial theorem.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of Budan's theorem

Fate of Budan's theorem

Recapping

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- ▶ He **revived** Descartes' rule of signs — forgotten for about 160 years — and first isolates the **positive** roots. To isolate the **negative** roots he sets $x \leftarrow -x$ and treats them as positive.

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- ▶ He had developed all the basic ingredients needed for the isolation of the real roots of polynomials and had a **very modern** point of view. However, he did not present a unifying theorem.
- ▶ He **revived** Descartes' rule of signs — forgotten for about 160 years — and first isolates the **positive** roots. To isolate the **negative** roots he sets $x \leftarrow -x$ and treats them as positive.
- ▶ To compute the coefficients of $p(x + 1)$ Budan developed in 1803 the special case, $a = 1$, of the **Ruffini** method to compute the coefficients of $p(x + a)$. Ruffini's method appeared in 1804 — and was independently rediscovered by **Horner** in 1819.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of Budan's theorem

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Recapping

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- ▶ He uses his method to compute radicals, as in $x^3 - 1745$.
- ▶ If he knows the roots to be “far” away from 0 he can speed up his method by introducing substitutions of the form $x \leftarrow kx$, for $k = 10, 20, \text{etc.}$ For example, with seven substitutions he can determine that $\sqrt[3]{1745}$ is in the interval (12, 13).

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- ▶ If he knows the roots to be “far” away from 0 he can speed up his method by introducing substitutions of the form $x \leftarrow kx$, for $k = 10, 20$, etc. For example, with seven substitutions he can determine that $\sqrt[3]{1745}$ is in the interval (12, 13).
- ▶ However, in general, his method for real root isolation has exponential computing time.

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Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of Budan's theorem

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- In other words, searching for a real root Budan proceeds by taking *unit* steps of the form $x \leftarrow x + 1$.

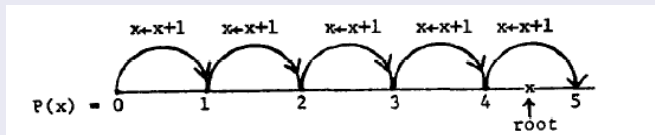


Table of contents

- 1 Budan's work of 1807
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- 4 Various Implementations of Vincent's Theorem

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Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of the Theorem

Fate of Vincent's theorem

Recapping

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- ▶ Vincent is best known for his [Cours de Géométrie Élémentaire, 1826](#), which reached a sixth edition and was published in German as well.
- ▶ He was a polymath. He wrote at least 30 papers on topics such as Mathematics, Archaeology, Philosophy, Ancient Greek Music etc.

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Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of the Theorem

Fate of Vincent's theorem

Recapping

Vincent's Publications Timeline

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Vincent, A. J. H. 1797-1868 (Alexandre Joseph Hidulphe) [WorldCat Identities]

influences - ag.akritas@gmail.com - Gmail

Vincent, A. J. H. (Alexandre Joseph Hidulphe) 1797-1868

Overview

Works: 237 works in 516 publications in 2 languages and 1,066 library holdings

Genres: History Catalogs Bibliography Catalogs Manuscripts Textbooks Bibliography

Roles: Author, Editor, Other, Honoree, Translator, Composer, Former owner, Author of Introduction

Publication Timeline

By Posthumously by About

Year	By	Posthumously by	About
1820	1	0	0
1821	2	0	0
1822	3	0	0
1823	4	0	0
1824	5	0	0
1825	6	0	0
1826	7	0	0
1827	8	0	0
1828	9	0	0
1829	10	0	0
1830	11	0	0
1831	12	0	0
1832	13	0	0
1833	14	0	0
1834	15	0	0
1835	16	0	0
1836	17	0	0
1837	18	0	0
1838	19	0	0
1839	20	0	0
1840	21	0	0
1841	22	0	0
1842	23	0	0
1843	24	0	0
1844	25	0	0
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1846	27	0	0
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1906	87	38	0
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1908	89	40	0
1909	90	41	0
1910	91	42	0
1911	92	43	0
1912	93	44	0
1913	94	45	0
1914	95	46	0
1915	96	47	0
1916	97	48	0
1917	98	49	0
1918	99	50	0
1919	100	51	0
1920	101	52	0
1921	102	53	0
1922	103	54	0
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1928	109	60	0
1929	110	61	0
1930	111	62	0
1931	112	63	0
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1933	114	65	0
1934	115	66	0
1935	116	67	0
1936	117	68	0
1937	118	69	0
1938	119	70	0
1939	120	71	0
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1967	148	99	0
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1987	168	119	0
1988	169	120	0
1989	170	121	0
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1996	177	128	0
1997	178	129	0
1998	179	130	0
1999	180	131	0
2000	181	132	0
2001	182	133	0
2002	183	134	0
2003	184	135	0
2004	185	136	0
2005	186	137	0
2006	187	138	0
2007	188	139	0
2008	189	140	0
2009	190	141	0
2010	191	142	0

Most widely held works about A. J. H Vincent

- [Notice sur A.J.H. Vincent, lue le 10 janvier, 1869](#) by Ernest Havet (Book)
- [Travaux scientifiques de M.A.-J.-H. Vincent](#) by A. J. H Vincent (Book)
- [Catalogue des livres composant la bibliotheque de feu M.L.J.S.E. marquis de Laborde ... La vente aura lieu le ... 8 janvier 1872 et les 11 jours suivants](#) by Léon Laborde (Book)
- [Catalogue des livres composant la bibliotheque de feu m. A.J.H. Vincent](#) by A. J. H Vincent (Book)
- [A.M. Le Rédacteur en chef du "Correspondant"](#) by B Jullien (Book)

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Statement of the Theorem

Fate of Vincent's theorem

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If in a polynomial, $p(x)$, of degree n , with rational coefficients and **simple** roots we perform sequentially replacements of the form

$$x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$$

where $\alpha_1 \geq 0$ is an arbitrary non negative integer and $\alpha_2, \alpha_3, \dots$ are arbitrary positive integers, $\alpha_i > 0$, $i > 1$, then the resulting polynomial either has **no sign variations** or it has **one sign variation**. In the first case there are **no** positive roots whereas in the last case the equation has exactly **one** positive root, represented by the continued fraction

$$\alpha_1 + \frac{1}{\alpha_2 + \frac{1}{\alpha_3 + \frac{1}{\ddots}}}$$

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Statement of the Theorem

Fate of Vincent's theorem

Recapping

Remarks on Vincent's Theorem — a

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- ▶ The requirement of the theorem that the roots of the polynomial be simple, does not restrict its generality, because we can always apply **square free factorization** and obtain polynomials with simple roots. That is, employing **polynomial gcd computations**, we can always obtain the factorization

$$p(x) = p_1(x)p_2(x)^2 \cdots p_k(x)^k,$$

where the roots of each $p_i(x)$, $i = 1, \dots, k$ are simple.

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▶ It employs **Descartes' termination test**, which is very efficiently executed.

▶ The theorem **does not provide a bound** on the number of substitutions $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ that need to be performed in order to obtain a polynomial with **at most** one sign variation.

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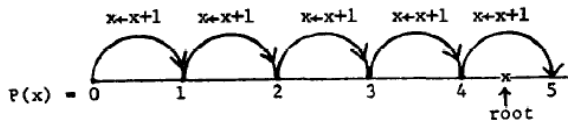
Vincent's search for a root

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Like Budan, Vincent searches for roots — that is, he computes each partial quotient α_j — by performing substitutions of the form $x \leftarrow x + 1$ — which correspond to $\alpha_j \leftarrow \alpha_j + 1$ — until the number of sign variations changes. Then he needs to investigate the **existence or absence** of real roots in $(0, 1)$ using **Budan's termination criterion**.

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▶ In the 19-th century the theorem appeared **with its proof but without examples only** in Serret's Algebra — at least in the fourth edition of 1877 — and in its Russian translation.

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- ▶ ... where it was rediscovered by me in 1975 and formed the subject of my Ph.D. Thesis (1978).

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- ▶ He presented and proved a theorem that unified the basic ingredients needed for the isolation of the real roots of polynomials. His theorem lacked a certain feature, but nonetheless was a significant step forward.
- ▶ He was fully aware of Budan's work and used **almost** all the tools developed by Budan in 1807.
- ▶ What can be considered a step backward, is that he **did not use Budan's method** for computing the coefficients of $p(x + 1)$. Instead, he computes them by employing **Pascal's triangle**.

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- ▶ Finally, as in Budán's case, his real root isolation method has **exponential** computing time.

Table of contents

- 1 Budan's work of 1807
- 2 Vincent's Theorem of 1836
- 3 Uspensky's Extension of Vincent's Theorem**
 - Uspensky's Bound on the Number of Substitutions
 - An Example
 - Recapping
- 4 Various Implementations of Vincent's Theorem

Budan's work of 1807

Vincent's Theorem of 1836

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Various Implementations of Vincent's Theorem

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Budan's work of 1807

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Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

An Example

Recapping

Extension of Vincent's theorem by Uspensky

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If Δ is the **smallest distance** between any two roots of $p(x)$ having simple roots and degree n and F_i is the i -th **Fibonacci number** (seed numbers 1, 1) we need to perform at most m substitutions

$$x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots, x \leftarrow \alpha_m + \frac{1}{x}$$

to obtain a **polynomial with at most 1 sign variation**. The index m is defined by

$$F_{m-1}\Delta > \frac{1}{2}, \quad \Delta F_m F_{m-1} > 1 + \frac{1}{\epsilon}$$

where

$$\epsilon = \left(1 + \frac{1}{n}\right)^{\frac{1}{n-1}} - 1.$$

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

An Example

Recapping

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- ▶ As we will see, the **circle at -1 with radius ϵ** **greatly underestimates** the sector into which all other roots have to move, so that $\text{var}(p) = 1 \Leftarrow \varrho_+(p) = 1$.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

An Example

Recapping

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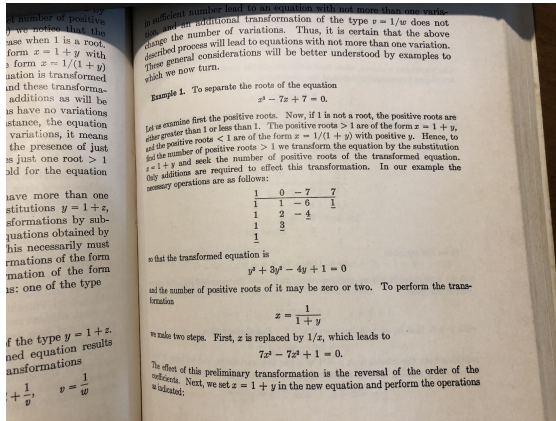


Figure: Uspensky uses **Budan's** method, by then a special case of the established **Ruffini-Horner** method.

Budan's work of 1807

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Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

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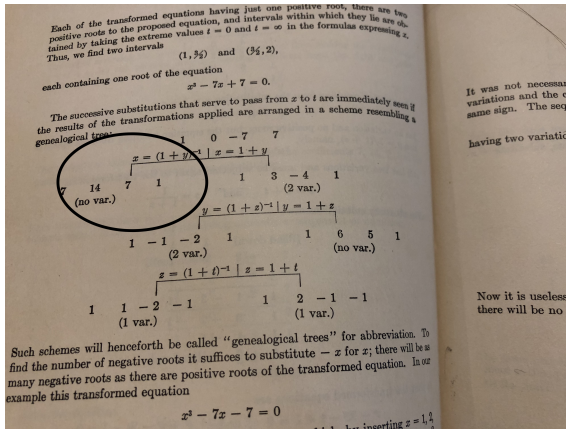


Figure: At the terminal nodes we have $M_L(x) = \frac{2x+3}{x+2}$ and $M_R(x) = \frac{x+3}{x+2}$.

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Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

An Example

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To make sure there is no root in $(0, 1)$ Uspensky “reinvented” Budán's **termination test** and after **each** substitution of the form $x \leftarrow x + 1$, he also performs the **redundant** substitution

$$x \leftarrow (x + 1)^{\deg(p)} p\left(\frac{1}{x + 1}\right).$$

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

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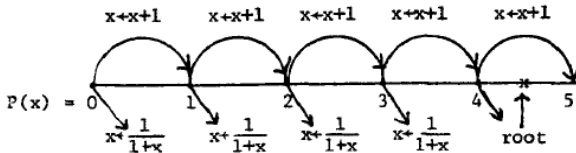
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Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

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▶ He proved that the purpose of the substitutions $x \leftarrow \alpha_1 + \frac{1}{x}, x \leftarrow \alpha_2 + \frac{1}{x}, x \leftarrow \alpha_3 + \frac{1}{x}, \dots$ is to force the roots with positive real part **inside a circle with center at -1 and radius ϵ .**

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▶ He presented the real root isolation process in tree form and **reintroduced Budan's method** for computing the coefficients of $p(x + 1)$.

Budan's work of 1807

Vincent's Theorem of 1836

Uspensky's Extension of Vincent's Theorem

Various Implementations of Vincent's Theorem

Uspensky's Bound on the Number of Substitutions

An Example

Recapping

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- ▶ Therefore, as in Budán's and Vincent's cases, the presented real root isolation method has **exponential** computing time.

Table of contents

- 1 Budan's work of 1807
- 2 Vincent's Theorem of 1836
- 3 Uspensky's Extension of Vincent's Theorem
- 4 **Various Implementations of Vincent's Theorem**
 - Vincent's theorem by Alesina and Galuzzi (2000)
 - The VAS continued fractions method
 - Bounds on the values of the positive roots of polynomials

Budan's work of 1807
Vincent's Theorem of 1836
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▶ Alesina and Galuzzi understood Vincent's theorem so thoroughly that they gave an equivalent version of it — **the bisections version** — and provided a generalization of Budan's **termination test** for the interval $(0, 1)$.

▶ Moreover, they were the ones who discovered Obreschkoff's Sector (or Cone) and Circles theorem in his book of 1963 and used it to prove Vincent's theorem.

Vincent's Bisections theorem — by Alesina and Galuzzi, 2000

Let $f(z)$, be a real polynomial of degree n , which has only simple roots. It is possible to determine a positive quantity δ so that for every pair of positive real numbers a, b with $|b - a| < \delta$, every transformed polynomial of the form

$$\phi(z) = (1 + z)^n f\left(\frac{a + bz}{1 + z}\right)$$

has exactly 0 or 1 variations. The second case is possible if and only if $f(z)$ has a simple root within the open interval (a, b) .

Sketch of the proof of Vincent's theorem

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► **Obreschkoff's theorem of 1920-23**, gives a much superior bound (to Uspensky's) on the number of interval bisections (or equivalently substitutions) that need to be performed in order to obtain a polynomial with one sign variation. It states that ...

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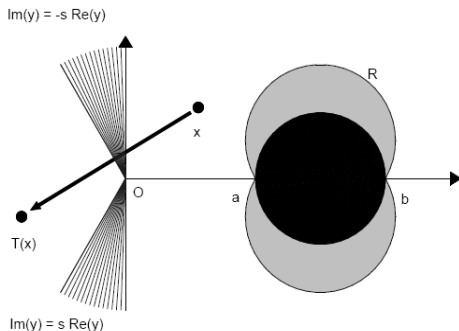
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If a real polynomial has **one** positive simple root x_0 and all the other — possibly multiple — roots lie in the sector

$$S_{\sqrt{3}} = \{x = -\alpha + i\beta \mid \alpha > 0 \text{ and } \beta^2 \leq 3\alpha^2\}$$

then the sequence of its coefficients has exactly **one** sign variation.

View of Obreschkoff's Cone and Circles. Diagram by Alesina and Galuzzi, 2000.



Real root isolation using Vincent's theorem

To isolate the positive roots of a polynomial $p(x)$, all we have to do is compute — for *each* root — the variables a, b, c, d of the corresponding Möbius substitution

$$M(x) = \frac{ax + b}{cx + d}$$

that leads to a transformed polynomial

$$f(x) = (cx + d)^n p\left(\frac{ax + b}{cx + d}\right)$$

with one sign variation.

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- ▶ or, **by bisections**, leading to the methods developed by:
 - (a) Vincent, Collins and Akritas (1976), the **VCA bisection** method, and
 - (b) Vincent, Alesina and Galuzzi (2000), the **VAG bisection** method.

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- ▶ In my thesis I made 2 plausible **assumptions**: (a) that lb computes the **integer part of the smallest positive root**, and (b) that its value is bounded by the size of the polynomial coefficients.
- ▶ That is, we now set $\alpha_i \leftarrow lb$ or, equivalently, we perform the substitution $x \leftarrow x + lb$, which takes about the same time as the substitution $x \leftarrow x + 1$.

The *ideal* step

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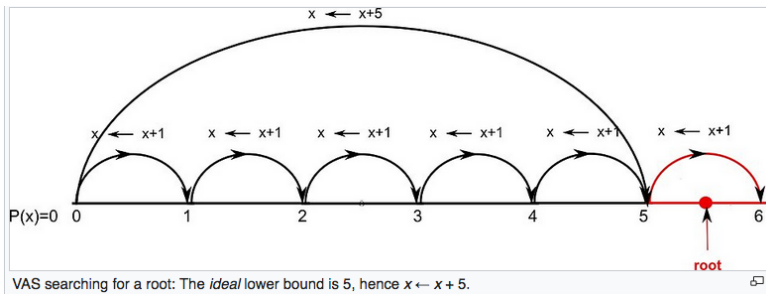


Figure: This way the theoretical computing time of Vincent's method became **polynomial**.

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- ▶ Note that in general the ideal lower bound is bigger than the computed bound, i.e.

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- ▶ The efficiency of the VAS algorithm depends on the algorithm used to evaluate $lb_{computed}$.

- ▶ In the next section we will present two algorithms for evaluating $lb_{computed}$.

The VAS algorithm — Input / Output

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VAS, 1978:

Input: The square-free polynomial $p(x) \in \mathbb{Z}[x]$, $p(0) \neq 0$, and the Möbius transformation $M(x) = \frac{ax+b}{cx+d} = x$, $a, b, c, d \in \mathbb{Z}$

Output: A list of isolating intervals of the **positive** roots of $p(x)$

Figure: The fastest implementation of Vincent's theorem.

The VAS algorithm

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```
1 var ← the number of sign changes of  $p(x)$ ;  
2 if var = 0 then RETURN  $\emptyset$ ;  
3 if var = 1 then RETURN  $\{a, b\}$  // a = min( $M(0), M(\infty)$ ), b =  
   max( $M(0), M(\infty)$ );  
4  $lb \leftarrow$  a lower bound on the positive roots of  $p(x)$ ;  
5 if  $lb > 1$  then  $\{p \leftarrow p(x + lb), M \leftarrow M(x + lb)\}$ ;  
6  $p_{01} \leftarrow (x + 1)^{\deg(p)} p(\frac{1}{x+1})$ ,  $M_{01} \leftarrow M(\frac{1}{x+1})$  // Look for real roots in  
   ]0, 1[ ;  
7  $m \leftarrow M(1)$  // Is 1 a root? ;  
8  $p_{1\infty} \leftarrow p(x + 1)$ ,  $M_{1\infty} \leftarrow M(x + 1)$  // Look for real roots in  
   ]1, + $\infty$ [ ;  
9 if  $p(1) \neq 0$  then  
10 | RETURN VAS( $p_{01}, M_{01}$ )  $\cup$  VAS( $p_{1\infty}, M_{1\infty}$ )  
11 else  
12 | RETURN VAS( $p_{01}, M_{01}$ )  $\cup$   $\{[m, m]\}$   $\cup$  VAS( $p_{1\infty}, M_{1\infty}$ )  
13 end
```

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▶ With the help of the Alesina-Galuzzi papers and without any assumptions, Sharma proved that VAS has polynomial computing time.

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Strzeboński's contribution to Vincent's method

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► It was Adam Strzeboński of [Wolfram Research](#), who in 1993 implemented "VAS" in *Mathematica* and at the same time introduced the substitution $x \leftarrow lb_{computed} \cdot x$, whenever $lb_{computed} > 16$. The value 16 was determined experimentally.

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► The Strzeboński substitution improved VAS even further.

Bounds on the values of the positive roots

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▶ Bounds on the absolute values of the roots work fine for the bisection methods, where they are computed only **once** at the start of the process.

▶ By contrast, **at each step of the process**, the VAS continued fractions method relies heavily on the **repeated** estimation of lower bounds on the values of the positive roots of polynomials.

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► I came across Cauchy's theorem in N. Obreschkoff's book *Verteilung und Berechnung der Nullstellen reeller Polynome*, (East) Berlin, 1963. It states the following:

Let $p(x) = \alpha_n x^n + \alpha_{n-1} x^{n-1} + \dots + \alpha_0$, ($\alpha_n > 0$) be a polynomial of degree $n > 0$, with $\alpha_{n-k} < 0$ for at least one k , $1 \leq k \leq n$. If λ is the number of negative coefficients, then an upper bound on the values of the positive roots of $p(x)$ is given by

$$ub_C = \max_{\{1 \leq k \leq n: \alpha_{n-k} < 0\}} \sqrt[k]{-\frac{\lambda \alpha_{n-k}}{\alpha_n}}.$$

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Ștefănescu's theorem for pairing terms

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► (*Ștefănescu's theorem, 2005*) Let $p(x) \in R[x]$ be such that the number of variations of signs of its coefficients is **even**. If

$$p(x) = c_1x^{d_1} - b_1x^{m_1} + c_2x^{d_2} - b_2x^{m_2} + \dots + c_kx^{d_k} - b_kx^{m_k} + g(x),$$

with $g(x) \in R_+[x]$, $c_i > 0$, $b_i > 0$, $d_i > m_i > d_{i+1}$ for all i , the number

$$ub_S = \max \left\{ \left(\frac{b_1}{c_1} \right)^{1/(d_1-m_1)}, \dots, \left(\frac{b_k}{c_k} \right)^{1/(d_k-m_k)} \right\}$$

is an upper bound for the positive roots of the polynomial p for any **choice** of c_1, \dots, c_k .

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Our splitting and pairing of terms in Cauchy's bound

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- ▶ We were inspired by Ştefănescu's theorem of 2005 and introduced the concept of **splitting terms**. By employing the principle of **splitting and pairing terms** they developed various improved bounds of **linear** and **quadratic** computational complexity.

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► For Cauchy's bound, the splitting and pairing of terms can be seen if we rewrite the formula as

$$ub_C = \max_{\{1 \leq k \leq n: \alpha_{n-k} < 0\}} \sqrt[k]{-\frac{\alpha_{n-k}}{\lambda}}$$

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Bounds with quadratic complexity

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Main idea of quadratic bounds:

▶ **Each** negative coefficient of the polynomial is paired with **all the preceding** positive coefficients and the **minimum** of the computed values is associated with this coefficient. The **maximum** of all those minimums is taken as the estimate of the bound.

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Local Max Quadratic, (LMQ)

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► For the polynomial $p(x) \in \mathbb{R}[x]$

$$p(x) = \alpha_n x^n + \alpha_{n-1} x^{n-1} + \dots + \alpha_0, \quad (\alpha_n > 0),$$

each negative coefficient $a_i < 0$ is “paired” with each one of the preceding positive coefficients a_j divided by 2^{t_j} — where t_j is initially set to 1 and is incremented each time the positive coefficient a_j is used — and the minimum is taken over all j ; subsequently, the maximum is taken over all i .

That is, we have:

$$ub_{LMQ} = \max_{\{a_i < 0\}} \min_{\{a_j > 0: j > i\}} \sqrt[j-i]{-\frac{a_i}{a_j 2^{t_j}}}.$$

Example

Consider the polynomial

$$x^3 + 10^{100}x^2 - 10^{100}x - 1,$$

which has one sign variation and, hence, one positive root **equal to 1**

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With **Cauchy's** linear bound, we pair the terms:

▶ $\{\frac{x^3}{2}, -10^{100}x\}$ and $\{\frac{x^3}{2}, -1\}$,

and taking the maximum of the radicals we obtain a bound estimate of **$1.41421 * 10^{50}$** .

Example

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which has one sign variation and, hence, one positive root **equal to 1**

With **LMQ**, the “Local Max” quadratic bound, we compute:

- ▶ the **minimum** of the two radicals obtained from the pairs of terms $\{\frac{x^3}{2}, -10^{100}x\}$ and $\{\frac{10^{100}x^2}{2}, -10^{100}x\}$ which is **2**, and
- ▶ the **minimum** of the two radicals obtained from the pairs of terms $\{\frac{x^3}{2^2}, -1\}$ and $\{\frac{10^{100}x^2}{2^2}, -1\}$ which is $\frac{2}{10^{50}}$.
- ▶ Therefore, the obtained estimate of the bound is $\max\{2, \frac{2}{10^{50}}\} = 2$.

Good old quadratic complexity bounds

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- ▶ Using *LMQ*, the performance of the VAS real root isolation method was speeded up by an average overall factor of 40%.

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VAS vs VCA on Mignotte polynomials

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- ▶ The Mignotte polynomials are of the form $x^n - 2(c \cdot x - 1)^2$, for $c, n \geq 3$, have only 4 real roots and as the degree increases, 2 of the 3 positive roots get closer and closer together.

VAS vs VCA on Mignotte polynomials

▶ The Mignotte polynomials are of the form $x^n - 2(c \cdot x - 1)^2$, for $c, n \geq 3$, have only 4 real roots and as the degree increases, 2 of the 3 positive roots get closer and closer together.

▶ We test our methods on the Mignotte polynomial

$$x^{300} - 2(5x - 1)^2$$

VAS has been implemented in *Mathematica* — version 7
shown below

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▶ — and it takes **0.046 seconds** to **isolate** and **approximate** the roots of Mignotte's polynomial of degree 300.

VCA has been implemented in maple — version 11 shown below

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— and it takes **170 seconds** to **just isolate** the roots of Mignotte's polynomial of degree 300.

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— and it takes **170 seconds** to **just isolate** the roots of Mignotte's polynomial of degree 300.

```
> with(RootFinding) :  
> f := x300 - 2(5x - 1)2;  
                                     f := x300 - 2(5x - 1)2  
> st := time( ) : Isolate(f, digits = 250) : time( ) - st;  
                                     170.431  
>
```

Figure: To isolate Mignotte's poly of degree 300

Therefore, ...

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VAS can be many thousand times faster than the fastest implementation of **VCA**.

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Moreover, as the following frames indicate, **VAS** can be many times faster than numeric methods, which **cannot** compute just the positive roots! They compute **all** the roots (real and complex).

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Using Mma 7 (1/3 frames)

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Consider the polynomial

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with the 2 positive roots $\neq 1$.

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► The numeric method `NRoots` used in Mma 7 takes **12.933 seconds** to find the two positive roots with 30 digits of accuracy.

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- ▶ On the other hand, the function `RootIntervals`, i.e. the **VAS continued fractions method**, isolates the two positive roots in $5 * 10^{-16}$ seconds ...

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```
ints = RootIntervals[f][[1]] // Timing  
{5.60316 × 10-16, {{0, 1}, {1, 2}}}
```

Figure: Using the function `RootIntervals` in Mma 7

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Using Mma 7 (3/3 frames)

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- ▶ ... and approximates them to 30 digits of accuracy in practically no time at all!

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Concluding remarks

- ▶ The theoretical results by Alesina-Galuzzi and Sharma improved our understanding of Vincents theorem.
- ▶ Additionally, Ștefănescu's theorem of 2005 and our discovery and use of LMQ, the quadratic complexity bound on the values of the positive roots, made VAS the fastest real root isolation method.
- ▶ However, when we try to isolate the roots of a **sparse polynomial** of very large degree, say 100000, most CASs run out of memory.

Concluding remarks

- ▶ The theoretical results by Alesina-Galuzzi and Sharma improved our understanding of Vincents theorem.
- ▶ Additionally, Ștefănescu's theorem of 2005 and our discovery and use of LMQ, the quadratic complexity bound on the values of the positive roots, made VAS the fastest real root isolation method.
- ▶ However, when we try to isolate the roots of a **sparse polynomial** of very large degree, say 100000, most CASs run out of memory.
- ▶ To solve the problem the VAS continued fractions method has been implemented using **interval arithmetic**.

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References

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